

# Lab 3: Analysis of Resequencing Data

# Quality encoding table

SSS.....
..... XXXXXXXXXXXXXXXXXXXXXXXXX.....
..... III.....
..... JJ.....
LLLLLLLLLLLLLLLLLLLLLLLLLLLLLLLLLLL.....
!“#\$%%’ ()*+,-./0123456789:;=>?@ABCDEFGHIJKLMNOPQRSTUVWXYZ[\]^_`abcdefghijklmnopqrstuvwxyz{ }~
33      59      64      73      104      126

S - Sanger

      Phred+33, raw reads typically (0, 40)

X - Solexa

      Solexa+64, raw reads typically (-5, 40)

I - Illumina 1.3+ Phred+64, raw reads typically (0, 40)

J - Illumina 1.5+ Phred+64, raw reads typically (3, 40)

    with 0=unused, 1=unused, 2=Read Segment Quality Control Indicator (bold)

    (Note: See discussion above).

L - Illumina 1.8+ Phred+33, raw reads typically (0, 41)

# Identify quality encoding

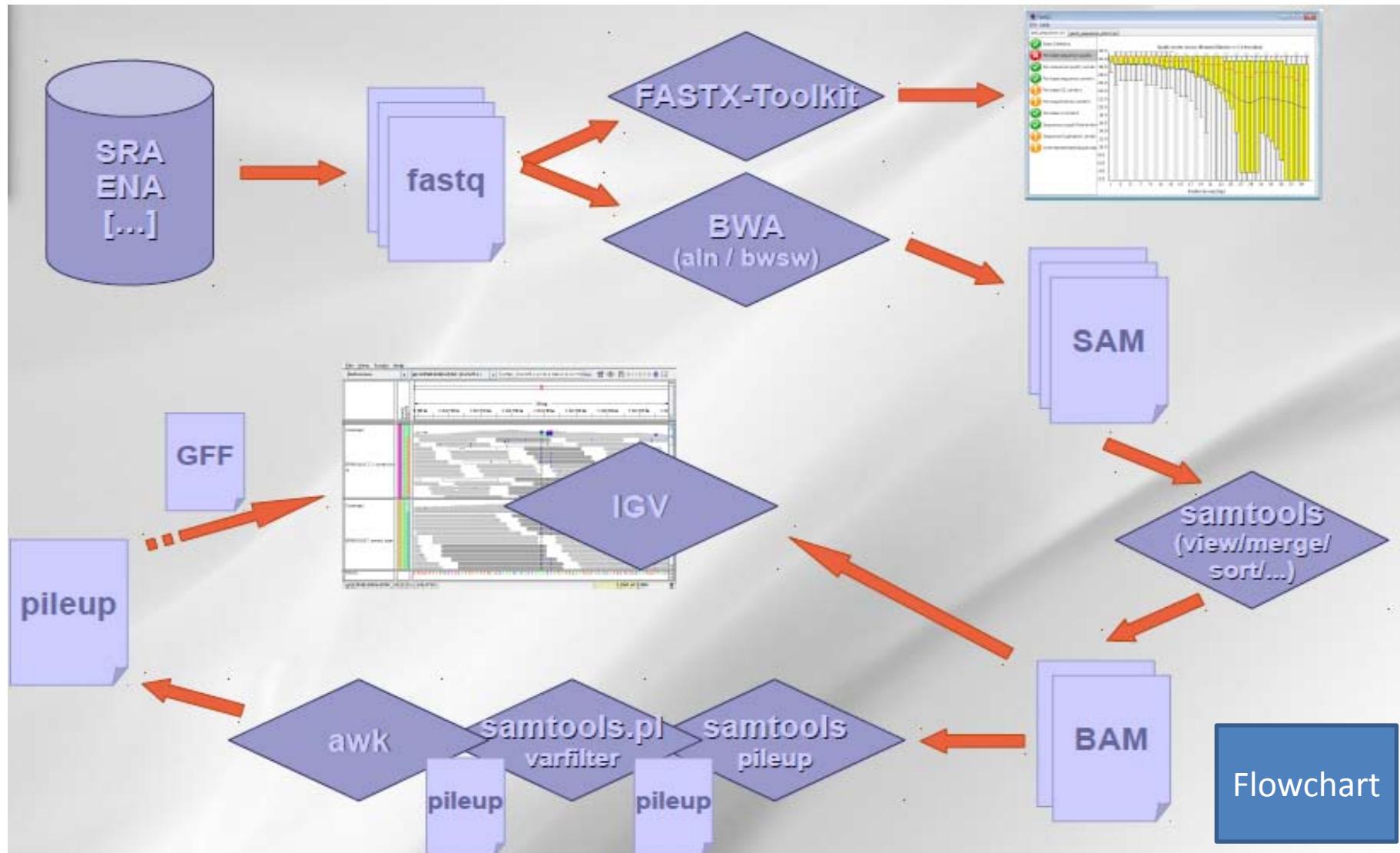
Use the table above encoding table. You only need to differentiate between Sanger (S), Solexa (X) and Illumina (I, J)

```
@HWUSI-EAS656_0037_FC:3:1:16637:1035#NNNNNN/1
CATATTTGTGGCTCATCCCAAGGGAGAGGTTTCTATACTCAGGAGAAGTTACTCACGATAAGAGAA
+
41?8FFF@DAGGGEDF@FGECGGGBG@GE. EEBGBDADBBEEBEEC>ACE>CD?EEC?CAB>EB:BC##
@FC42RHOAAXX:3:1:2:1038#NNNNNN/1
GTGTTCTCTGCGACCCGTAATTAGCTTTCCGGTGCTTGCACCTTATCCTGCACCATCTCG
+
a]baaaa^aaaV^a_aa^Y^__^__aa____^a]U__\\^][Z_^^R]YWWW[S@Z[QFY[VWZWB#####B
@I330_1_FC30JM6AAXX:4:1:13:1602/1
ATGTAGAAGTGTGATACGGCGATTICAAACATTGCAGGGCTT
+I330_1_FC30JM6AAXX:4:1:13:1602/1
hhhhhhhhhhhhhhhhhhhYh^hhhhH[I>B^AABGDK;KBP??FN
```

# What is resequencing?

- You have a reference genome
  - represents one individual
- You generate sequence from other individuals
  - same species / closely related species
- You want to identify variations
  - map millions of reads to reference genome
  - identify SNPs / indels / structural variations

# Flowchart



# Steps in Resequencing

**Map reads to a reference genome (.bam)**

- finds best placement of reads

**recalibrate alignments (.bam)**

- realign indels
- remove duplicates
- recalibrate base quality

**identify / call variants (.vcf)**

- statistical algorithms to detect true variant

# Steps in Resequencing

## Map reads to a reference genome (.bam)

- finds best placement of reads

## recalibrate alignments (.bam)

- realign indels
- remove duplicates
- recalibrate base quality

## identify / call variants (.vcf)

- statistical algorithms to detect true variant

# Step 1: map reads

- MAQ (<http://maq.sourceforge.net/>)
  - non-gapped
- BWA (<http://bio-bwa.sourceforge.net/>)
  - Burrows-Wheeler aligner
  - gapped (limited number of errors)
  - successor to Maq, but much faster than MAQ
- Bowtie (<http://bowtie-bio.sourceforge.net>)
  - fast + memory efficient
- Mosaik (<http://bioinformatics.bc.edu/marthlab/>)
  - Smith-Waterman

# BWA

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Sequence analysis

## Fast and accurate short read alignment with Burrows-Wheeler transform

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# bwa (1)

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## *Manual Reference Pages - bwa (1)*

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### **NAME**

bwa - Burrows-Wheeler Alignment Tool

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### **SYNOPSIS**

```
bwa index -a bwtsw database.fasta  
bwa aln database.fasta short_read.fastq > aln_sa.sai  
bwa samse database.fasta aln_sa.sai short_read.fastq > aln.sam  
bwa sampe database.fasta aln_sa1.sai aln_sa2.sai read1.fq read2.fq > aln.sam  
bwa bwasw database.fasta long_read.fastq > aln.sam
```

---

# bwa command line

- **Reference sequence indexing :**

bwa index -a bwtsw db.fasta

- **Read Alignment :**

bwa aln db.fasta short\_read.fastq > aln\_sa.sai

bwa bwasw database.fasta long\_read.fastq > aln.sam

- **Formatting unpaired reads (single-end) :**

bwa samse db.fasta aln\_sa.sai short\_read.fastq >  
aln.sam

- **Formatting pair ends (paired-end):**

bwa sampe database.fasta aln\_sa1.sai aln\_sa2.sai  
read1.fq  
read2.fq > aln.sam

# bwa index

```
index    bwa index [-p prefix] [-a algoType] [-c] <in.fasta>
```

Index database sequences in the FASTA format.

## OPTIONS:

**-c** Build color-space index. The input fast should be in nucleotide space.

**-p STR** Prefix of the output database [same as db filename]

**-a STR** Algorithm for constructing BWT index. Available options are:

**is** IS linear-time algorithm for constructing suffix array. It requires  $5.37N$  memory where  $N$  is the size of the database. IS is moderately fast, but does not work with database larger than 2GB. IS is the default algorithm due to its simplicity. The current codes for IS algorithm are reimplemented by Yuta Mori.

**bwtsw** Algorithm implemented in BWT-SW. This method works with the whole human genome, but it does not work with database smaller than 10MB and it is usually slower than IS.

# bwa aln

```
aln      bwa aln [-n maxDiff] [-o maxGap0] [-e maxGapE] [-d nDelTail] [-i nIndelEnd] [-k  
maxSeedDiff] [-l seedLen] [-t nThrds] [-cRN] [-N misMsc] [-O gapOsc] [-E gapEsc]  
[-q trimQual] <in.db.fasta> <in.query.tq> > <out.sai>  
  
Find the SA coordinates of the input reads. Maximum maxSeedDiff differences are  
allowed in the first seedLen subsequence and maximum maxDiff differences are  
allowed in the whole sequence.  
  
OPTIONS:  
-n INT Maximum edit distance if the value is INT, or the fraction of missing  
alignments given 2% uniform base error rate if FLOAT. In the latter case,  
the maximum edit distance is automatically chosen for different read  
lengths. [0.64]  
-o INT Maximum number of gap opens [1]  
-e INT Maximum number of gap extensions, -1 for k-difference mode (disallowing  
long gaps) [-1]  
-d INT Disallow a long deletion within INT bp towards the 3'-end [16]  
-i INT Disallow an indel within INT bp towards the ends [5]  
-l INT Take the first INT subsequence as seed. If INT is larger than the query  
sequence, seeding will be disabled. For long reads, this option is  
typically ranged from 25 to 35 for '-k 2'. [1nf]  
-k INT Maximum edit distance in the seed [2]  
-t INT Number of threads (multi-threading mode) [1]  
-M INT Mismatch penalty. BWA will not search for suboptimal hits with a score  
lower than (bestScore-misMsc). [3]  
-O INT Gap open penalty [11]  
-E INT Gap extension penalty [4]  
-R INT Proceed with suboptimal alignments if there are no more than INT equally  
best hits. This option only affects paired-end mapping. Increasing this  
threshold helps to improve the pairing accuracy at the cost of speed,  
especially for short reads (~32bp).  
-c Reverse query but not complement it, which is required for alignment in  
the color space.  
-N Disable iterative search. All hits with no more than maxDiff differences  
will be found. This mode is much slower than the default.  
-q INT Parameter for read trimming. BWA trims a read down to  
argmax_x{sum_{i=x+1}^l(INT-q_i)} if q_l<INT where l is the original read  
length. [0]
```

# bwa samse & sampe

**samse** bwa samse [-n maxOcc] <in.db.fasta> <in.sai> <in.fq> > <out.sam>

Generate alignments in the SAM format given single-end reads. Repetitive hits will be randomly chosen.

## OPTIONS:

- n INT Maximum number of alignments to output in the XA tag for reads paired properly. If a read has more than INT hits, the XA tag will not be written. [3]

**sampe** bwa sampe [-a maxInsSize] [-o maxOcc] [-n maxHitPaired] [-N maxHitDis] [-P] <in.db.fasta> <in1.sai> <in2.sai> <in1.fq> <in2.fq> > <out.sam>

Generate alignments in the SAM format given paired-end reads. Repetitive read pairs will be placed randomly.

## OPTIONS:

- a INT Maximum insert size for a read pair to be considered being mapped properly. Since 0.4.5, this option is only used when there are not enough good alignment to infer the distribution of insert sizes. [500]
- o INT Maximum occurrences of a read for pairing. A read with more occurrences will be treated as a single-end read. Reducing this parameter helps faster pairing. [100000]
- P Load the entire FM-index into memory to reduce disk operations (base-space reads only). With this option, at least 1.25N bytes of memory are required, where N is the length of the genome.
- n INT Maximum number of alignments to output in the XA tag for reads paired properly. If a read has more than INT hits, the XA tag will not be written. [3]
- N INT Maximum number of alignments to output in the XA tag for discordant read pairs (excluding singlettons). If a read has more than INT hits, the XA tag will not be written. [10]

# bwasw

```
bwasw bwasw [-a matchScore] [-b mmPen] [-q gapOpenPen] [-r gapExtPen] [-t nThreads]
[-w bandWidth] [-T thres] [-s hspIntv] [-z zBest] [-N nHspRev] [-c thresCoef]
<in.db.fasta> <in.fq>

Align query sequences in the <in.fq> file.

OPTIONS:

-a INT Score of a match [1]
-b INT Mismatch penalty [3]
-q INT Gap open penalty [5]
-r INT Gap extension penalty. The penalty for a contiguous gap of size k is
q+k*r. [2]
-t INT Number of threads in the multi-threading mode [1]
-w INT Band width in the banded alignment [33]
-T INT Minimum score threshold divided by a [37]
-c FLOAT Coefficient for threshold adjustment according to query length. Given an
l-long query, the threshold for a hit to be retained is
a*max{T,c*log(l)}. [5.5]
-z INT Z-best heuristics. Higher -z increases accuracy at the cost of speed.
[1]
-s INT Maximum SA interval size for initiating a seed. Higher -s increases
accuracy at the cost of speed. [3]
-N INT Minimum number of seeds supporting the resultant alignment to skip
reverse alignment. [5]
```

# Exercise

- Data sets :
  - SRX002048
  - ERR003037
  - ERR000017
- Retrieving the reference sequence in fasta format :
  - gi|224581838|ref|NC\_012125.1| **Salmonella enterica** subsp. enterica serovar Paratyphi C strain RKS4594, complete genome
- Indexing the reference sequence
- Aligning the reads (fastq format)
- Formatting the alignment in SAM

# Mapping Algorithm trick

- brute force (simple)
- hash tables
- suffix trees
- Burrows-Wheeler transform (BWT)

# Brute force

TCGACCC

x

GACCTCA**TCGATCC**CACTG



TCGACCC

x

GACCTCA**TCGATCC**CACTG



TCGACCC

x

GACCTCA**TCGATCC**CACTG



TCGACCC

| | | | |

GACCTCA**TCGATCC**CACTG



TCGACCC

| | x

GACCTCA**TCGATCC**CACTG



TCGACCC

x

GACCTCA**TCGATCC**CACTG

# Hash table

Build an index of the reference sequence for fast access

**seed length = 7**

**GACCTCATCGATCCCACTG**

GACCTCA	<input type="checkbox"/> chromosome 1, pos 0
ACCTCAT	chromosome 1, pos 1
CCTCATC	chromosome 1, pos 2
CTCATCG	<input type="checkbox"/> chromosome 1, pos 3
TCATCGA	<input type="checkbox"/> chromosome 1, pos 4
CATCGAT	chromosome 1, pos 5
ATCGATC	chromosome 1, pos 6
TCGATCC	<input type="checkbox"/> chromosome 1, pos 7
CGATCCC	chromosome 1, pos 8
GATCCCA	chromosome 1, pos 9

# Hash table

Build an index of the reference sequence for fast access

TCGATCC=?

**seed length = 7**

**GACCTCATCGATCCACTG**

GACCTCA	<input type="checkbox"/>	chromosome 1, pos 0
ACCTCAT		chromosome 1, pos 1
CCTCATC		chromosome 1, pos 2
CTCATCG	<input type="checkbox"/>	chromosome 1, pos 3
TCATCGA	<input type="checkbox"/>	chromosome 1, pos 4
CATCGAT		chromosome 1, pos 5
ATCGATC		chromosome 1, pos 6
TCGATCC	<input type="checkbox"/>	chromosome 1, pos 7
CGATCCC		chromosome 1, pos 8
GATCCCA		chromosome 1, pos 9

# Hash table

Build an index of the reference sequence for fast access

TCGATCC=chromosome 1, pos7

**seed length = 7**

**GACCTCATCGATCCACTG**

GACCTCA	<input type="checkbox"/> chromosome 1, pos 0
ACCTCAT	chromosome 1, pos 1
CCTCATC	chromosome 1, pos 2
CTCATCG	<input type="checkbox"/> chromosome 1, pos 3
TCATCGA	<input type="checkbox"/> chromosome 1, pos 4
CATCGAT	chromosome 1, pos 5
ATCGATC	chromosome 1, pos 6
<b>TCGATCC</b>	<b><input type="checkbox"/> chromosome 1, pos 7</b>
CGATCCC	chromosome 1, pos 8
GATCCCA	chromosome 1, pos 9

# Hash-based algorithms

Key	Value
.	.
.	.
.	.
ACTGCGTGTGA	Chr1_pos1234; Chr2_pos567
ACTGCGTGTGC	Chr7_posX
ACTGCGTGTGT	Chr7_posZ; ...
.	.
.	.
.	.

- Since lookups in hashes are fast!!!
  1. Index the reference using  $k$ -mers
  2. Search reads vs. hash  $k$ -mers
  3. Perform alignment of entire read around seed
  4. Report best alignment

# Hash table: improvement

- **Spaced seed**: increasing sensitivity
- **Multiple seeds**: instead of extending around a single seed, but around positions with multiple seed matches (SHRiMP)

# Hash tables

- BLAST
- BLAT, SSAHA (long read aligners)
- MAQ, SOAP (“older” short read aligners)
- Both nucleotide and color-space
  - BFAST
  - SHRiMP
  - Novoalign (commercial)
- partially by Mosaik
- **Problem:**
  - Memory exhaustive: Indexing big genomes/lists of reads requires lots of memory
  - Poor hashing leads to slow alignment

# Burrows-Wheeler Transform (BWT)

- Hash-based aligners require lots of memory and are only reasonable fast
- Can we make it better/faster?
- BWT and suffix arrays
  - originally created for compression (implemented in bgzip2)
  - Aligners: BWA, Bowtie, Bowtie2, SOAPv2, bwa-sw
  - Low memory usage

# The concepts

- BWT
  - A reversible transformation of the genome
  - All overlapping regions need only be searched once
- Suffix arrays: quickly find all possible matches
  - “array of integers giving the starting positions of suffixes of a string in lexicographical order”

# BWT – creating index

Genome → Marks end-of-string, lexicographically smallest

X = AGGAGC\$

# BWT – creating index

- I. Create all possible transformations of the string  
(move first base to end)

X = AGGAGC\$

AGGAGC\$

# BWT – creating index

X = AGGAGC\$

- I. Create all possible transformations of the string  
(move first base to end)

AGGAGC\$

GGAGC\$A

# BWT – creating index

X = AGGAGC\$

- I. Create all possible transformations of the string  
(move first base to end)

AGGAGC\$

GGAGC\$A

GAGC\$AG

# BWT – creating index

X = AGGAGC\$

I. Create all possible transformations of  
the string  
(move first base to end)

AGGAGC\$

GGAGC\$A

GAGC\$AG

AGC\$AGG

GC\$AGGA

C\$AGGAG

\$AGGAGC

# BWT – creating index

2. Sort the strings lexicographically

X = AGGAGC\$

0	AGGAGC\$
1	GGAGC\$A
2	GAGC\$AG
3	AGC\$AGG
4	GC\$AGGA
5	C\$AGGAG
6	\$AGGAGC

# BWT – creating index

2. Sort the strings lexicographically

X = AGGAGC\$

0	AGGAGC\$	6	\$AGGAG	C
1	GGAGC\$A			
2	GAGC\$AG			
3	AGC\$AGG			
4	GC\$AGGA			
5	C\$AGGAG			
6	\$AGGAGC			

# BWT – creating index

3. Create the Suffix-Array (SA) and the BWT

X = AGGAGC\$

0	AGGAGC\$	6	\$AGGAG	C
1	GGAGC\$A	3	AGC\$AG	G
2	GAGC\$AG	0	AGGAGC	\$
3	AGC\$AGG	5	C\$AGGA	G
4	GC\$AGGA	2	GAGC\$A	G
5	C\$AGGAG	4	GC\$AGG	A
6	\$AGGAGC	1	GGAGC\$	A

# BWT – creating index

3. Create the Suffix-Array (SA) and the BWT

X = AGGAGC\$

	i	SA	BWT
0	0	6	\$AGGAG C
1	1	3	AGC\$AG G
2	2	0	AGGAGC \$
3	3	5	C\$AGGA G
4	4	2	GAGC\$A G
5	5	4	GC\$AGG A
6	6	1	GGAGC\$ A

# BWT – creating index

3. Create the Suffix-Array (SA) and the BWT

X = AGGAGC\$

	<i>i</i>	SA	BWT	
0	0	6	\$AGGAG	C
1	1	3	AGC\$AG	G
2	2	0	AGGAGC	\$
3	3	5	C\$AGGA	G
4	4	2	GAGC\$A	G
5	5	4	GC\$AGG	A
6	6	1	GGAGC\$	A

i = (0, 1, 2, 3, 4, 5, 6)  
SA = (6, 3, 0, 5, 2, 4, 1)  
BWT = CG\$GGAA

# BWT – align to index

Our index

$i$	SA	BWT
0	6	\$AGGAG C
1	3	AGC\$AG G
2	0	AGGAGC \$
3	5	C\$AGGA G
4	2	GAGC\$A G
5	4	GC\$AGG A
6	1	GGAGC\$ A

# BWT – align to index

Our index

Read = “AG”

$i$	SA	BWT
0	6	\$AGGAG C
1	3	AGC\$AG G
2	0	AGGAGC \$
3	5	C\$AGGA G
4	2	GAGC\$A G
5	4	GC\$AGG A
6	1	GGAGC\$ A

# BWT – align to index

Our index

Read = “AG”

$i$	SA	BWT
0	6	\$AGGAG C
1	3	AGC\$AG G
2	0	AGGAGC \$
3	5	C\$AGGA G
4	2	GAGC\$A G
5	4	GC\$AGG A
6	1	GGAGC\$ A

Which strings starts  
with “AG”?

# BWT – align to index

Our index

$i$	SA	BWT
0	6	\$AGGAG
→ 1	3	AGC\$AG
→ 2	0	AGGAGC
3	5	C\$AGGA
4	2	GAGC\$A
5	4	GC\$AGG
6	1	GGAGC\$

Read = “AG”

Which strings starts  
with “AG”?

Get Suffix Array Indices:  $i = [1,2]$

# BWT – align to index

Our index

$i$	SA	BWT
0	6	\$AGGAG
→ 1	3	AGC\$AG
→ 2	0	AGGAGC
3	5	C\$AGGA
4	2	GAGC\$A
5	4	GC\$AGG
6	1	GGAGC\$

Read = “AG”

Which strings starts  
with “AG”?

Get Suffix Array Indices:  $i = [1,2]$

Suffix Array values :  $SA[i] = [3,0]$

# BWT – align to index

Our index

$i$	SA	BWT
0	6	\$AGGAG
→ 1	3	AGC\$AG
→ 2	0	AGGAGC
3	5	C\$AGGA
4	2	GAGC\$A
5	4	GC\$AGG
6	1	GGAGC\$

Read = “AG”

Which strings starts  
with “AG”?

Get Suffix Array Indices:  $i = [1,2]$

Suffix Array values :  $SA[i] = [3,0]$

= read aligns at pos 0 & 3

# BWT – align to index

Our index

$i$	SA	BWT
0	6	\$AGGAG
→ 1	3	AGC\$AG
→ 2	0	AGGAGC
3	5	C\$AGGA
4	2	GAGC\$A
5	4	GC\$AGG
6	1	GGAGC\$

Read = “AG”

Which strings starts  
with “AG”?

Get Suffix Array Indices:  $i = [1,2]$

Suffix Array values :  $SA[i] = [3,0]$

= read aligns at pos 0 & 3

pos 0 : AGGAGC

pos 3 : AGGAGC

		11
		012345678901
	S =	agcagcagact\$

	<b>suffix#</b>	<b>BWT(S)</b>	<b>suffix/rotation</b>	
<u>0</u>	11	t	\$agcagcagact	\$...
1	8	g	act\$agcagcag	
2	6	c	agact\$agcagc	
3	3	c	gcagact\$agc	
4	0	\$	gcagcagact\$	
5	5	g	cagact\$agcag	
6	2	g	cagcagact\$ag	
7	9	a	ct\$agcagcaga	
8	7	a	gact\$agcagca	
9	4	a	gcagact\$agca	
10	1	a	gcagcagact\$a	
<u>11</u>	10	c	t\$agcagcagac	t...

<b>ch</b>	\$	a	c	g	t
<b>rank(ch)</b>	<u>0</u>	<u>1</u>	<u>5</u>	<u>8</u>	<u>11</u>

BWT(agcagcagact) = tgcc\$ggaaaaac

# Recovering s from bwt(s)

```
function recover(bwt)
{ // Recover original string from its transform
  var pos = 0,
      ans = endChar; // $-terminated here
  for( var i = 1; i < bwt.length; i++ )
    { ans = bwt.charAt(pos) + ans;
      pos = inverse(pos, bwt);
    }
  return ans;
}//recover(BWT)

function inverse(pos, bwt)
{ // one step of the reverse reconstruction
  var ch      = bwt.charAt(pos);
  var chCode = ch.charCodeAt(0);
  return rank[chCode] + occ(ch, bwt, pos);
}//inverse(pos, bwt)

function occ(ch, bwt, pos)
// returns the # of occurrences of ch in bwt
// before position pos
```

# occ() function

```
function occSlow(ch, bwt, i) // (SLOW, but see occFast)
{ var count = 0;
  for( var j = 0; j < i; j++ )
    if( bwt.charAt(j) == ch ) count++;
  return count;
}//occSlow(ch,bwt,i)
```

```
function occFast(ch, bwt, loc)
{ if( loc < 0 ) return 0;
  var bucket = Math.floor(loc/freqBucketSize);
  var lo = bucket * freqBucketSize;
  var count = freqCache[bucket][ch.charCodeAt(0)];
  for(var j = lo; j < loc; j++ )
    if( bwt.charAt(j) == ch ) count ++ ;
  return count;
}//occFast(ch,bwt,loc)
```

# Multiplicity

```
function multiplicity(pat, bwt)
{ // Return the number of times, if any, that pat occurs
// in refStr where bwt is the transform of refStr.
var lo = 0, hi = bwt.length; // i.e. [lo,hi)
for( var i = pat.length - 1; hi = lo && i >= 0; i-- )
{ var pati      = pat.charAt(i);
  var patiCode = pati.charCodeAt(0);
  lo = rank[patiCode] + occ(pati, bwt, lo);
  hi = rank[patiCode] + occ(pati, bwt, hi);
} //for
return hi - lo;
}//multiplicity(pat,bwt)
```

# trie

sorted reverse prefixes	~ trie
agcagcagact\$	\$
gcagcagact\$a	\$
gcagact\$agca	\$
gact\$agcagca	\$agc
ct\$agcagcaga	\$agcagcag
t\$agcagcagac	\$agcagcaga
agcagact\$agc	\$
agact\$agcago	\$agc
cagcagact\$ag	\$
cagact\$agcag	\$
act\$agcagcag	\$agc
\$agcagcagact	\$agcagcagact

# search for pattern

```
function locations(pat, bwt)
{ var lo = 0, hi = bwt.length; // [lo,hi)
  for( var i = pat.length - 1; hi > lo && i >= 0; i-- )
    { var pati      = pat.charAt(i);
      var patiCode = pati.charCodeAt(0);
      lo = rank[patiCode] + occ(pati, bwt, lo);
      hi = rank[patiCode] + occ(pati, bwt, hi);
    } //for
  for( var i = lo; i < hi; i++ )
    print( locate(i,bwt) + "," );
  println( "." );
  return;
} //locations(pat,bwt)
```

# locate() function

```
function locateSlow(pos, bwt)
{ pos = inverse(pos, bwt) ;
  var count ;
  for( count = 0; pos > 0; count ++ )
    pos = inverse(pos, bwt) ;
  return count ;
}//locateSlow(pos, bwt)
```

```
function locateFast(pos, bwt) // Fast
{ var count ;
  for( count = 0; pos % saBucketSize > 0; count++ )
    pos = inverse(pos, bwt) ;
  return (count+saCache[pos/saBucketSize]) % bwt.length;
}//locateFast(pos, bwt)
```

# approximate search

```
function approx(pat, errsLeft)
{ approxB(pat, errsLeft, pat.length-1, 0, bwt.length) :
} //approx(pat,errsLeft)

function approxB(pat, errsLeft, loc, lo, hi)
{ if( errsLeft < 0 ) return; // fail, else >=0
  if( loc < 0 ) // done all pat, ... are we ok?
  { for( var i = lo; i < hi; i++ )
    print( locate(i,bwt) + ',' );
  return;
  } //else loc >= 0
  approxB(pat, errsLeft-1, loc-1, lo, hi);           //del(*)
  var patLoc = pat.charCodeAt(loc);
  for( var sy = minCode; sy <= maxCode; sy++ )
  { var rankSy = rank[sy],
      syAsChar = String.fromCharCode(sy);
      var lo2 = rankSy + occ(syAsChar, bwt, lo),
          hi2 = rankSy + occ(syAsChar, bwt, hi);
      if( lo2 < hi2 ) // not a dead-end, yet
      { approxB(pat, errsLeft-1, loc, lo2, hi2); //ins(*)
        var e2 = errsLeft - (sy == patLoc ? 0 : 1);
        approxB(pat, e2, loc-1, lo2, hi2);
      } //if
    } //for
  return;
} //approxB(,,,) 
```

# Choosing aligners

- Illumina: BWA, Bowtie2
- Solid: SHRiMP
- Ion Torrent: BWA-SW, (TMAP)
- 454: BWA-SW, (gsMapper)
- PacBio: BWA-SW, (BLASR)

# Coverage

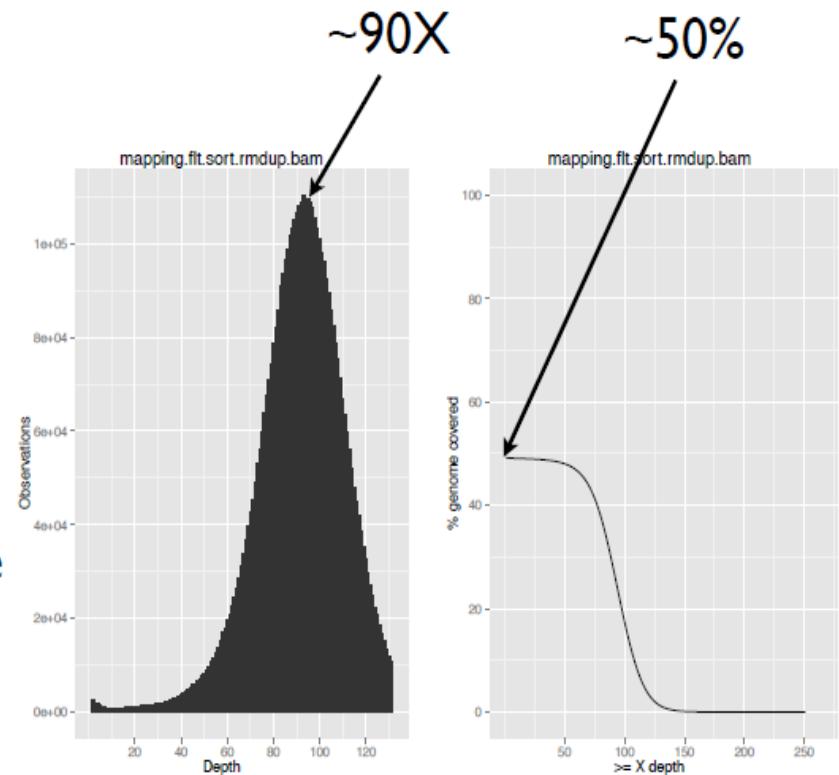
- Coverage/depth is how many times that your reads covers the genome (on average)
- Example:
  - N: number of reads, 5 M
  - L: read length, 100
  - G: Genome size, 5M
  - $C = 5 * 100 / 5 = 100X$
  - On average there are 100 reads covering each position in the genome

$$C = \frac{N \times L}{G}$$

# Actual Depth

- How much do we actually cover?

- Avg.depth ~ 90X
- Range from 0-250X
- Only 50% of the genome was covered with reads



# Steps in Resequencing

Map reads to a reference genome (.bam)

- finds best placement of reads

recalibrate alignments (.bam)

- realign indels
- remove duplicates
- recalibrate base quality

identify / call variants (.vcf)

- statistical algorithms to detect true variant

# Step 2: recalibration

- realign indels
- remove duplicates
- recalibrate base quality

# software

- some very useful programs for manipulation of short reads and alignments
- samtools (<http://samtools.sourceforge.net/>)
  - provides various utilities for manipulating alignments in the SAM and BAM format, including sorting, merging, indexing and generating alignments in a per-position format
- Picard (<http://picard.sourceforge.net/>)
  - Java-based command-line that manipulates SAM and BAM files
- Genome Analysis Toolkit (GATK, <http://www.broadinstitute.org/gatk>)
  - for variant discovery and genotyping as well as strong emphasis on data quality assurance (QA)
- Integrative genomics viewer (IGV, <http://www.broadinstitute.org/igv/>)
  - visualizing mapped reads

# SAM format

## header section

```
@HD VN:1.0 SO:coordinate
@SQ SN:1 LN:249250621 AS:NCBI37 UR:file:/data/local/ref/GATK/human_g1k_v37.fasta M5:1b22b98cdeb4a9304cb5d48026a85128
@SQ SN:2 LN:243199373 AS:NCBI37 UR:file:/data/local/ref/GATK/human_g1k_v37.fasta M5:a0d9851da00400dec1098a9255ac712e
@SQ SN:3 LN:198022430 AS:NCBI37 UR:file:/data/local/ref/GATK/human_g1k_v37.fasta M5:fdf811849cc2fadefbc929bb925902e5
@RG ID:UM0098:1 PL:ILLUMINA PU:HWUSI-EAS1707-615LHAAXX-L001 LB:80 DT:2010-05-05T20:00:00-0400 SM:SD37743 CN:UMCORE
@RG ID:UM0098:2 PL:ILLUMINA PU:HWUSI-EAS1707-615LHAAXX-L002 LB:80 DT:2010-05-05T20:00:00-0400 SM:SD37743 CN:UMCORE
@PG ID:bwa VN:0.5.4
```

## alignment section

```
8_96_444_1622 73 scaffold00005 155754 255 54M * 0 0 ATGAAAGTATTCCATGGTACACAGCTTGGTCGAATGTGATTGCTGAGCCAG
BC@B5)5CBBCBCCCCBC@@7C>CBCCBCCC;57)8(@B@B>ABBCBC7BCC=> NM:i:0
8_80_1315_464 81 scaffold00005 155760 255 54M = 154948 0 AGTACCTCCCTGGTACACAGCTTGGTAAAATGTGATTGCTGAGCCAGACCTTC
B?@?BA=>@>>7;ABA?BB@BAA;@BBBBBBAABABBBCABAB?BABA?BBBAB NM:i:0
8_17_1222_1577 73 scaffold00005 155783 255 40M1116N10M * 0 0 GGTAAAAATGTGATTGCTGAGCCAGACCTTCATCATGCAGTGAGAGACGC
BB@BA??>CCBA2AAABBBBBB8A3@BABA;@A:>B=,;@B=A:BAAAA NM:i:0 XS:A:+ NS:i:0
8_43_1211_347 73 scaffold00005 155800 255 23M1116N27M * 0 0 TGAGCCAGACCTTCATCATGCAGTGAGAGACGCAAACATGCTGGTATTTG
#>8<=<@6/:@9';@7A@@@BAAA@BBBBBABB@=<A@BBBBBBBCCB NM:i:2 XS:A:+ NS:i:0
8_32_1091_284 161 scaffold00005 156946 255 54M = 157071 0 CGCAAACATGCTGGTAGCTGTGACACCACATCAACAGCTGACTATGTTGTA
BBBBB@AABACBCA8BBBBBABB@BBBBBBA@BBBBBBBBA@:B@AA@=@@ NM:i:0
```

# SAM Mandatory fields

- **QNAME**: Query name of the read or read pair
- **FLAG**: Bitwise flag (pairing, strand, mate strand, etc.)
- **RNAME**: Reference sequence name
- **POS**: 1-based left most position of clipped alignment
- **MAPQ**: Mapping quality (Phred-scaled)
- **CIGAR**: Extended CIGAR string (MIDNSHP)
- **MRNM**: Mate reference name ('=' if same as RNAME)
- **MPOS**: 1-based leftmost mate position
- **ISIZE**: Inferred insert size
- **SEQQuery**: Sequence on the same strand as the reference
- **QUAL**: Query quality (ASCII-33=Phred base quality)

# CIGAR operators

- M: alignment match/mismatch
- I: insertion to the reference
- D: deletion from the reference
- S: softclip on the read (clipped sequence present in <seq>)
- H: hardclip (clipped sequence NOT present in <seq>)
- P: padding (silent deletion from the padded reference)
- N: skipped region from the reference

POS	CIGAR
5	2S4M2D6M3S

Reference:	GCATTCAGATGCAGTACGC
Read:	ccTCAG--GCAGTAgtg

# Example of extended CIGAR and pileup output

(a) coor 12345678901234 5678901234567890123456789012345  
ref AGCATGTTAGATAA\*\*GATAGCTGTGCTAGTAGGCAGTCAGCGCCAT

r001+ TTAGATAAAGGATA\*CTG  
r002+ aaaAGATAA\*GGATA  
r003+ geet~~a~~AGCTAA  
r004+ ATAGCT.....TCAGC  
r003- ~~ttagct~~TAGGC  
r001- CAGCGCCAT

(b) @SQ SN:ref LN:45

r001	163	ref	7	30	8M2I4M1D3M	=	37	39	TTAGATAAAGGATACTA	*
r002	0	ref	9	30	3S6M1P1I4M	*	0	0	AAAAGATAAGGATA	*
r003	0	ref	9	30	5H6M	*	0	0	AGCTAA	* NM:i:1
r004	0	ref	16	30	6M14N5M	*	0	0	ATAGCTTCAGC	*
r003	16	ref	29	30	6H5M	*	0	0	TAGGC	* NM:i:0
r001	83	ref	37	30	9M	=	7	-39	CAGCGCCAT	*

(c)

ref	7	T	1	.	ref	12	T	3	...	ref	17	T	3	...
ref	8	T	1	.	ref	13	A	3	...	ref	18	A	3	.-1G..
ref	9	A	3	...	ref	14	A	2	.+2AG.+1G	ref	19	G	2	*
ref	10	G	3	...	ref	15	G	2	..	ref	20	C	2	..
ref	11	A	3	..C	ref	16	A	3	...	...				

# BAM format

- Binary representation of SAM
- Compressed by BGZF library
- Greatly reduces storage space requirement to about 27% of original SAM

# Samtools examples

- Create BAM from SAM

```
 samtools view -bS aln.sam -o aln.bam
```

- Sort BAM file

```
 samtools sort example.bam sortedExample
```

- Merge sorted BAM files

- samtools merge sortedMerge.bam sorted1.bam  
sorted2.bam

- Index BAM file

```
 samtools index sortedExample.bam
```

- Visualize BAM file

```
 samtools tview sortedExample.bam reference.fa
```

# Steps in Resequencing

**Map reads to a reference genome (.bam)**

- finds best placement of reads

**recalibrate alignments (.bam)**

- realign indels
- remove duplicates
- recalibrate base quality

**identify / call variants (.vcf)**

- statistical algorithms to detect true variant

# Variant calling with samtools

- Get the raw variant :

```
samtools pileup vcf ref.fa aln.bam > raw.txt
```

```
samtools view -u aln.bam X | samtools pileup vcf ref.fa > rawX.txt
```

**ref.fa** fasta

formatted file of the reference genome

**aln.bam**

Sorted BAM formatted file, from the alignments

**raw[-X].txt**

Output pileup formatted, with consensus calls

**-C**

Calls the consensus base at each position

**-v**

Show positions that do not agree with ref.fa

**-f**

Reference sequence, ref.fa (in fasta format)

# The pileup format

chr - coord - base (\* for indel) – number of reads cover the site - read bases\* base quality

seq1	272	T	24	..\$.....	^+.	<<<+; <<<<<<<<=<; <; 7<&
seq1	273	T	23	.....	A	<<<; <<<<<<<3=<<<; <<+
seq1	274	T	23	..\$.....		7<7; <; <<<<<<<=<; <; <<6
seq1	275	A	23	,\$.....	^l.	<+; 9*<<<<<<=<<; ; <<<<
seq1	276	G	22	...T,.....		33; +<<7=7<<7<&<<1; <<6<
seq1	277	T	22	.....C.....	G.	+7<; <<<<<&<=<<; ; <<&<
seq1	278	G	23	.....	^k.	%38*<<; <7<<7<=<<; <<<<
seq1	279	C	23	A..T,.....		; 75&<<<<<<=<<9<<; <<
seq2	156	A	11	.\$.+2AG.+2AG.+2AGGG		<975; : <<<<

- **Read bases :**
- '.' and ',' : **match to the reference base on the forward/reverse strand**
- 'ACTGN' and 'actgn' : **for a mismatch on the forward/reverse strand**
- '^' and '\$' : **start/end of a read segment**
- '+[0-9]+[ACGTNacgtn]+' and '-[0-9]+[ACGTNacgtn]+' : **insertion/deletion**

# The pileup format

Consensus base - Consensus quality - Probability of difference from ref. base - Max. mapping quality

seq1	60	T	T	66	0	99	13	.....^.~,.~., 9<<55<;<<<<<
seq1	61	G	G	72	0	99	15	.....^.~,.~., (.975&;<<<<<<
seq1	62	T	T	72	0	99	15	.\$......<;,55;<<<<<<
seq1	63	G	G	72	0	99	15	.\$......^~, 4;2;<7;+<<<<<
seq1	64	G	G	69	0	99	14	.....9+5<;,;<<<<<
seq1	65	A	A	69	0	99	14	.\$......<5-2<;,<<<<<;
seq1	66	C	C	66	0	99	13	&*<;,<<<<<8<
seq1	67	C	C	69	0	99	14	.....^.~, ,75<,4<<<<-<<
seq1	68	C	C	69	0	99	14	.....576<;7<<<<8<<

seq2	156	A	A	10	0	99	11	.\$. .... +2AG.+2AG.+2AGGG <975;:<<<<
seq2	156	*	+AG/+AG	71	252	99	11	+AG * 3 8 0

1<sup>st</sup> indel allele - 2<sup>nd</sup> indel allele - Reads supporting 1<sup>st</sup> - Reads supporting 2<sup>nd</sup> - Reads supporting 3<sup>rd</sup>  
Reads bases      Reads qualities

# samtools.pl: Filter

- Filter the raw variants calls:

```
Usage: samtools.pl varFilter [options] <in.cns-pileup>
Options: -Q INT      minimum RMS mapping quality for SNPs [25]
          -q INT      minimum RMS mapping quality for gaps [10]
          -d INT      minimum read depth [3]
          -D INT      maximum read depth [100]
          -G INT      min indel score for nearby SNP filtering [25]
          -w INT      SNP within INT bp around a gap to be filtered [10]
          -W INT      window size for filtering dense SNPs [10]
          -N INT      max number of SNPs in a window [2]
          -l INT      window size for filtering adjacent gaps [30]
          -p           print filtered variants
```

# awk: Filter

- use quality threshold to filter the final variant calls

```
awk '($3=="*" && $6>=50) || ($3!="*" && $6>=20)' raw.flt.txt > raw.final.txt
```

gi 224581838 ref NC_012125.1	58123	C	G	12	12	4	18	.,..GGgggGGggggggggggg	9AC4====CA?7<96<0=	5?C
gi 224581838 ref NC_012125.1	58137	G	G	50	0	10	20	.,.,.,.,.,.,.,.,.,.,.	track.,.,.,.,.,.,.,.,.,.,.	loaded
gi 224581838 ref NC_012125.1	58137	*	-C/*	182	422	10	20	.,.,.,.,.,.,.,.,.,.	track.,.,.,.,.,.,.,.,.,.	loaded
gi 224581838 ref NC_012125.1	58147	A	G	94	94	13	23	gGGggggGGggggggggggggg	<C?A?9>CA@<=<<9?989<<8	
gi 224581838 ref NC_012125.1	58153	C	G	89	89	13	24	gGGggggGGggggggggggggg	CCA=A=? ; ==B83<78067B?B3	
gi 224581838 ref NC_012125.1	58168	C	A	59	78	13	26	aAA..aa..aaaaaaa..aaaaaa..a	@CB3AA>44@AAA@A<=>?92@>D:	
gi 224581838 ref NC_012125.1	58213	G	A	48	48	8	10	AAAAAaAAaA	CA>@PDD00	
gi 224581838 ref NC_012125.1	58222	A	G	21	21	8	10	.GGGGgGG,,	@=4C@AB@D	
gi 224581838 ref NC_012125.1	58225	G	G	48	0	8	10	...+2AC.,.,.	@<7<7ABD>D	
gi 224581838 ref NC_012125.1	58225	*	*/+AC	B	B	8	10	10 loaded *	+AC	9 1 0 0 0
gi 224581838 ref NC_012125.1	58231	G	A	21	21	8	10	.AAAAAA..,	C2..//<0@>0	
gi 224581838 ref NC_012125.1	58255	G	C	49	49	7	12	CCCCCcOCcOCc	CA6CCADDAD?2	
gi 224581838 ref NC_012125.1	58273	C	G	22	22	6	13	.GGGgGG,.GgGG	@5?A<BB4DC00B	
gi 224581838 ref NC_012125.1	58282	T	C	27	27	7	11	CCcCC..CcCC	CC2DDADG;CC	

Consensus base - Consensus quality - Probability of difference from ref. base - Max. mapping quality

# Generating consensus

- **Consensus** : A way of representing the results of a multiple sequence alignment (which residues are most abundant in the alignment at each position).

```
samtools.pl pileup2fq raw.txt > raw.fastq
```